

# Graph Theory

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For Later

# Contents

<b>Contents</b>	<b>2</b>
<b>1 Planar Graphs</b>	<b>3</b>
1.1 Formal Definitions . . . . .	3
<b>2 Connectivity and Matchings</b>	<b>4</b>
2.1 Transversal . . . . .	5
<b>3 Connectivity</b>	<b>5</b>
<b>4 Extremal Problems</b>	<b>7</b>
4.1 The Problem of Zarankiewicz . . . . .	8
<b>5 Colorings</b>	<b>9</b>
5.1 Coloring Planar Graphs . . . . .	10
5.2 The Chromatic Polynomial . . . . .	11
5.3 Edge-Colorings . . . . .	11
5.3.1 Graph on Surfaces . . . . .	12
<b>6 Ramsey Theory</b>	<b>13</b>
6.1 Infinite Ramsey Theory . . . . .	14
<b>7 Random Graphs</b>	<b>14</b>
7.1 Graphs with large $\chi(G)$ . . . . .	15
7.2 Structure of Random Graphs . . . . .	16
7.2.1 Probability Reminder . . . . .	16
<b>8 Algebraic Methods</b>	<b>17</b>
8.1 Moore Graphs . . . . .	19

# 1 Planar Graphs

Given a plane graph  $G$ ,  $\mathbb{R}^2 - G$  splits up into connected regions called *faces*. The *boundary* of a face consists of the vertices and edges that touch it.

**Note.** - The boundary of a face need not be a cycle!

- The boundary of a face need not be connected! Consider two concentric squares as an example.
- Both sides of an edge can be on the same face.

## 1.1 Formal Definitions

For  $x, y \in \mathbb{R}^2$ ,  $x \neq y$ , A *polygonal arc* from  $x$  to  $y$  is a finite union of closed straight-line segments  $\overline{x_1 x_2} \cup \dots \cup \overline{x_{k-1} x_k}$  with  $x_1 = x, x_k = y$ , disjoint except for  $\overline{x_i x_{i+1}} \cap \overline{x_{i+1} x_{i+2}} = \{x_{i+1}\}$ .

For Graph  $G$ , vertices  $v_1, \dots, v_n$ , a *drawing* of  $G$  consists of distinct points  $x_1, \dots, x_n \in \mathbb{R}^2$ , and for each  $v_i, v_j \in E$ , a polygonal arc  $p_{i,j}$  from  $x_i \rightarrow x_j$ , such that  $p_{i,j} \cap p_{k,l} = \emptyset$  for  $i, j, k, l$  distinct and  $p_{i,j} \cap p_{i,k} = \{x_i\}$ .

For  $x, y \in \mathbb{R}^2 - G$ , write  $x \sim y$  if there exists a polygonal arc in  $\mathbb{R}^2 - G$  from  $x$  to  $y$ . The components of  $\sim$  are the *faces* of  $G$ . The *boundary* of a face  $F$  is  $G$  intersect the closure of  $F$ .

**Theorem** (Euler's Formula). Let  $G$  be a connected plane graph with  $n$  vertices,  $m$  edges, and  $f$  faces. Then  $n - m + f = 2$ .

*Proof.* If  $G$  is a tree, then  $m = n - 1$ , and  $f = 1$ , so  $n - m + f = 2$ .

If  $G$  is not a tree, then we choose an edge  $l$  on a cycle  $C$ . Then  $G - l$  connected. With  $n$  vertices,  $m - 1$  edges,  $f - 1$  faces, so by induction, we can reduce it to the tree case, and thus we are done.  $\square$

**Theorem.** Let  $G$  be a planar graph. Then  $m \leq 3n - 6$ .

*Proof.* If we sum for each face in  $G$ . The number of edges in its boundary, we obtain  $\geq 3f$ , because each face has at least 3 edges. Also each edge is counted at most 2 times, so we have  $\leq 2m$ . So  $3f \leq 2m$ . Now WLOG  $G$  connected, so  $n - m + f = 2$ . Then  $n - m + \frac{2}{3}m \geq 2$ , or  $m \leq 3n - 6$ .  $\square$

**Proposition.**  $K_{3,3}$  is not planar.

**Remark.** This is equivalent to connecting 3 houses to 3 utility companies without pipes crossing.

*Proof.* If drawn in plane, each face has  $\geq 4$  edges, as  $K_{3,3}$  is bipartite. So  $4f \leq 2m$  as in the proof of Euler's formula. Thus  $n - m + \frac{m}{2} \geq 2$ , so  $m \leq 2n - 4$ , which is false for  $K_{3,3}$ .  $\square$

From this, we can note that if we can eliminate 3-cycles, 4-cycles,  $\dots$ , then we can get tighter bounds than  $3n - 6$ .

**Theorem** (Kuratowski's Theorem).  $G$  is planar iff  $G$  does not contain a subdivided  $K_5$  or  $K_{3,3}$ .

This is not proven in the course, but this provides a good summary for planar graphs.

## 2 Connectivity and Matchings

Let  $G$  be a bipartite graph, vertex classes  $X$  and  $Y$ . A *matching* from  $X$  to  $Y$  is a family of edges so that  $X \rightarrow X' \subset Y$  is injective.

When do you have a matching? Well if  $d(x) = 0$  for some  $x \in X$ , then there is no matching. If there exists distinct  $x_1, x_2 \in X$  with  $P(x_1) = P(x_2) = \{y\}$ , then no matching.

Similarly, if for some  $A \subset X$  we have  $|P(A)| < |A|$ , where  $P(x)$  is the set of elements in  $Y$  such that  $x$  is connected to, we have no matching.

**Theorem** (Hall's Marriage Theorem). If  $G$  is bipartite, and vertex classes  $X, Y$ . Then  $G$  has a matching from  $X$  to  $Y \Leftrightarrow |P(A)| \geq |A|$  for all  $A \subset X$ .

*Proof.* One direction is trivial as we proved it above. Now we prove the  $\Leftarrow$  direction. We use induction. Induct on  $|X|$ . For  $|X| = 1$  this is clearly true. Now we ask this question:

Do we have  $|P(A)| > |A|$  for all  $A \subset X, A \neq \emptyset, X$ ?

If yes, then we choose  $x \in X, y \in P(x)$ , and let  $G' = G - x - y$ . Then we clearly see  $G'$  has a matching by induction because for every  $A \in X \setminus \{x\}$ ,  $|P_{G'}(A)| \geq |A|$  as originally we had a strict inequality. Thus we are done by induction hypothesis.

If not, we seem to have a big problem. Let  $G' = G[A \cup P(A)]$ ,  $G'' = G[(X \setminus A) \cup (Y \setminus P(A))]$ . We clearly see that  $G'$  has a matching from  $A$  to  $P(A)$  by induction hypothesis and definition. But what about  $G''$ ?

If we consider  $B \in X \setminus A$ , we add that to  $A$ . Then we see that  $|P(B \cup A)| \geq |B| + |A|$ , but  $P(A) \in G'$  and thus by construction  $P(B) \geq |B|$  and  $P(B) \subset G''$ .  $\square$

Now we have a second proof, using the min-cut max-flow theorem from IB Optimization:

*Proof.* We form a directed network by adding a source  $S$ , joined to each  $x \in X$  by an edge of capacity 1, and a sink  $t$  joined to each  $Y \in Y$  by an edge of capacity 1, and direct each  $xy \in E(G)$  from  $X$  to  $Y$  with capacity  $\infty$ . Then an integer-valued flow of size  $|X|$  is precisely a matching from  $X$  to  $Y$ . So by integrality theorem of max-flow min-cut, it is enough to check that each cut has capacity  $\geq |X|$ .

Let  $\{s\} \cup A \cup B$ , where  $A \subset X, B \subset Y$  be a cut. Wlog  $\Gamma(A) \subset B$ , otherwise we have a cut along a line with capacity  $\infty$ . So capacity of cut is  $|X| - |A| + |B| \geq |X|$  by the condition. So we are done.  $\square$

**Definition.** A *matching of deficiency  $d$*  is a set of  $|X| - d$  independent edges.

**Corollary** (Defect Hall Theorem). Let  $G$  be bipartite, vertex classes  $X, Y$ . Then  $G$  has a matching from  $X$  to  $Y$  of deficiency  $d \Leftrightarrow |\Gamma(A)| \geq |A| - d \forall A \subset X$ .

*Proof.* Note that  $\Rightarrow$  is trivial. Now we add new vertices  $y_a, \dots, y_d$  to  $Y$ , each connected to all of  $X$ . Then the graph formed has a matching from  $X$  to  $Y \cup \{y_1, \dots, y_d\}$  by Hall's theorem, which yields a set of  $\geq |X| - d$  independent edges in  $G$  itself.  $\square$

## 2.1 Transversal

**Definition.** A transversal of sets  $S_1, \dots, S_n$  consists of distinct  $x_1, \dots, x_n$  with  $x_i \in S_i$  for all  $i$ .

**Corollary.** Sets  $S_1, \dots, S_n$  have a transversal if and only if  $|\bigcup_{i \in A} S_i| \geq |A|$  for all  $A \subset \{1, \dots, n\}$ .

*Proof.* Again, one direction is trivial. Now create the graph with  $1, \dots, n$  on one side and all possible elements on the other side, wlog  $S_1, \dots, S_n$  finite. Then we connect the  $i$  and  $x$  when  $x$  is an element of  $S_i$ . Then clearly the condition above translates to Hall's condition, and we are done.  $\square$

**Example.** Let  $G$  be a finite group and  $H$  a subgroup. We have left cosets  $g_1H, \dots, g_nH$ , and right cosets  $Hg'_1, \dots, Hg'_k$ . Can we choose  $g_1 \dots g_k$  such that  $g_1H, \dots, g_kH$  are the left cosets and  $Hg_1, \dots, Hg_k$  are the right cosets?

In other words, if  $L_1, \dots, L_k$  are the left cosets and  $R_1, \dots, R_k$  the right ones, is there a reordering such that  $L_i \cap R_i \neq \emptyset$  for all  $i$ .

We now form bipartite  $G$ , vertex classes  $\{1, \dots, k\}$ ,  $y = \{1, \dots, k\}$  with  $n \in X$  joined to  $j \in Y$  if  $L_i$  meets  $R_j$ . Thus we seek a matching, where we connect all the edges  $ij$  such that  $L_i \cap R_j \neq \emptyset$ . Just by counting the number of elements, we see  $n$  left cosets must need  $n$  right cosets to cover them, so Hall's condition is satisfied. We are done.

## 3 Connectivity

The idea we are exploring is how "connected" a graph is.

**Definition.** A connected graph  $G$ , with  $|G| > 1$ , has *connectivity*  $\kappa(G)$  the smallest  $|S|$  such that  $S \subset V$ ,  $G - S$  disconnected (or a single point). We say  $G$  is  $k$ -connected if  $\kappa(G) \geq k$ .

**Example.** - No tree is 2-connected.

- A cycle is 2-connected.
- A cube is 3-connected.
- $K_n$  is  $(n - 1)$ -connected.

**Note.** - We have  $\kappa(G) \leq \delta(G)$ , where  $\delta(G) = \min_{x \in G} d(x)$ .

**Proposition.** content...

For distinct  $a, b$  in  $G$ , say  $S \in V \setminus \{a, b\}$  separates  $a$  from  $b$ , or is an  $a - b$  separator. If  $a, b$  in different components of  $G - S$ .

**Theorem** (Menger's Theorem). Let  $a, b$  be distinct non-adjacent vertices of graph  $G$ . Suppose every  $a - b$  separator has size  $\geq k$ , then  $\exists k$  independent  $a - b$  paths.

**Remark.** - The converse statement is trivial.

- Equivalently, the minimum size of a separator is the maximum size of a set of independent paths.
- Non-adjacent is needed for definition of separator to work.
- Menger implies hall theorem. Given bipartite  $G$ , add vertices  $a$  and  $b$  that's connected to all of  $X$  and  $Y$ . Then a matching from  $X$  to  $Y$  is precisely a set of  $|X|$  independent  $a - b$  paths. So by menger, we just need to check that every separator has size  $\geq |X|$ . Let  $A \subset X$  and  $B \subset Y$  be an  $a - b$  separator. Then  $P(X \setminus A) \subset B$ , so  $|B| \geq |X \setminus A|$  by hall's condition, so  $|A| + |B| \geq |X|$ .

*Proof.* WLOG  $k \geq 2$ . We can assume that we need to prove that  $k$  is the minimal size of an  $a - b$  separator. If it is false, let  $g$  be a minimal counterexample, being the one with minimal  $k$  and then minimal number of edges for given  $k$ .

Let  $S$  be an  $a - b$  separator with  $|S| = k$ . Suppose that  $S \not\subset \Gamma(a)$  and  $S \not\subset \Gamma(b)$ . Form  $G'$  from  $G$  by replacing the component of  $a$  in  $G - S$  with one point  $a'$ , joined to all of  $S$ , thus  $e(G') < e(G)$ , as  $S \not\subset \Gamma(a)$ .

Then  $G'$  has no  $a' - b$  separator of size  $< k$ , so  $G'$  has a family of  $k$   $a' - b$  independent paths by induction, so in other words,  $G$  has paths  $B_1, \dots, B_k$  from  $b$  to  $S$  except at  $b$ .

By symmetry, we can do this starting from  $a$ , and connecting these, as  $S$  is a separator, we are done.  $\square$

**Corollary.** Let  $G$  be connected,  $|G| > 1$ , then  $G$  is  $k$  connected iff for all distinct  $a, b \in G$ , there exists  $k$  independent  $a - b$  paths.

*Proof.* The  $\Leftarrow$  direction is trivial. For  $\Rightarrow$ , if  $ab \in E$ , then let  $G' = G - ab$ , then  $G'$  is  $k - 1$  connected so  $G = G' + ab$  is  $k$  connected. If  $ab \notin E$ , then we are done by theorem above.  $\square$

**Definition.** For  $G$  connected,  $|G| > 1$ , the *edge-connectivity* of  $G$ , written  $\lambda(G)$ , is the smallest  $|w|$  where  $w \in E$  and  $G - w$  are disconnected. we say it is *k-edge-connected* if  $\lambda(G) \geq k$ .

**Theorem.** Let  $G$  be connected, and  $a, b \in G$ . Then the minimum size of  $w \in E$  that separates  $a$  from  $b$  is the maximum size of a family of edge disjoint  $a - b$  paths.

*Proof.* For a graph  $g$ , the line graph  $L(G)$  has vertex set  $E(G)$  and two vertexes are connected if the two edges meet in  $G$ . now we add  $a'$  and  $b'$  so that  $a'$  are connected to the edges (now vertices) of the graph  $G'$  that  $a$  was connected to and similarly for  $b'$ . Then the edge-disjoint paths in  $G$  corresponds to independent  $a - b$  paths in  $G'$ , and edge separators in  $G$  correspond to separators in  $G'$ . Then we are done by Menger's Theorem  $\square$

**Corollary.** Let  $|G| > 1$  be connected. Then  $G$  is  $k$  connected iff for all distinct  $a, b \in G$  there exist a family of  $k$  edge-disjoint  $a - b$  paths.

## 4 Extremal Problems

**Definition.** An *Euler circuit* in  $G$  is a circuit (a walk  $x_1, \dots, x_k$  with  $x_k = x_1$ ) that includes every edge exactly once. We say  $G$  is Eulerian if it has an Euler circuit.

**Theorem.** Let  $G$  be a connected graph. Then  $G$  Eulerian  $\Leftrightarrow$  all degrees of  $G$  are even.

*Proof.*  $\Rightarrow$  If Euler circuit passes through vertex  $x$   $k$  times, then  $d(x) = 2k$ .

$\Leftarrow$  We use induction on  $l(G)$ : For  $l(G) = 0$ , it is trivial. Given connected  $G$ ,  $l(G) > 0$ , and all degrees are even: Suppose  $G$  is not Eulerian, and let  $C$  be a longest circuit without a repeated edge - note ( $l(C) > 0$ , as  $G$  is not a tree as all degrees  $\geq 2$ , so  $G$  has a cycle). Let  $H$  be a component of  $G - E(C)$  with  $l(H) > 0$ . Now  $\forall x \in V(H)$  we have  $d_H(x)$  so by induction step  $H$  has an Euler circuit. But  $V(H)$  meets  $V(C)$  as  $G$  is connected. So  $C, C'$  shares a vertex, so we can combine  $C, C'$  to obtain a longer circuit than  $C$ . A contradiction. So we are done.  $\square$

**Definition.** A *Hamilton cycle* in  $G$  is a cycle passing through each vertex. We say  $G$  is Hamiltonian if it has a Hamilton cycle.

**Theorem.** Let  $G$  be a graph on  $n$  vertices ( $n \geq 3$ ) with  $\delta(G) \geq \frac{n}{2}$ . Then  $G$  is Hamiltonian.

*Proof.* Must have  $G$  connected. Indeed, if  $x, y$  non-adjacent then  $P(x), P(y) < V - \{x, y\}$ , whence  $P(x) \cap P(y) \neq \emptyset$ .

Let  $x_1, \dots, x_l$  be longest path in  $G$ , and we have  $l \geq 3$  as  $G$  is connected,  $|G| \geq 3$ . Wlog that  $G$  has no  $l$ -cycle. If  $l = n$  then we are done. If  $l < n$ , then there exists  $xy \in E$  with  $x \in \text{cycle}$  and  $y \notin \text{cycle}$ , so we obtain path on  $l + 1$  vertices.

Thus  $x_1 x_l \notin E$ . Also for each  $2 \leq i \leq l$ , we cannot have both  $x_1 x_i$  and  $x_{i-1} x_l$  in  $E$ , as else we have  $l$ -cycle. Now  $P(x_1), P(x_l) \in \{x_2, \dots, x_{l-1}\}$  by maximality. Now by definition  $\delta(G) \geq \frac{n}{2}$ , and  $l \leq n$ , so if  $x_1$  is connected to  $\frac{n}{2}$  of  $x_2, \dots, x_{l-1}$  of these, then those shifted by one cannot be connected by  $x_l$ . But then  $x_l$  can only be connected to  $l - \frac{n}{2} < \frac{n}{2}$  a contradiction. So we are done.  $\square$

**Proposition.** Let  $G$  be a connected graph of order  $n$ ,  $n \geq 3$ , and let  $k < n$ . Then  $\delta(G) \geq \frac{k}{2} \Rightarrow G \supset P_k$ .

*Proof.* Choose a longest path  $x_1, \dots, x_l$ , and suppose  $l \leq k$ . Then  $G$  has no  $l$  cycle by theorem above, and by the same reasoning as the theorem above, we have a contradiction.  $\square$

**Theorem.** Let  $G$  be a graph on  $n$  vertices with  $l(G) > \frac{n(k-1)}{2}$ . Then  $G$  has a  $P_k$ .

*Proof.* Given  $G \not\supset P_k$ , we want  $l(G) \leq \frac{n(k-1)}{2}$ . Without loss of generality  $k < n$ . We induct on  $n$ . Given  $G, G \not\supset P_k$ : Given  $G, G \not\supset P_k$ : Without loss of generality, assume  $G$  is connected, as if disconnected, we are done by induction on each disconnected component. Now choose  $x \in G$  with  $d(x) \leq \frac{k-1}{2}$  (exists by proposition above), but  $G - x \not\supset P_k$ , so  $l(G - x) \leq \frac{(n-1)(k-1)}{2}$ , and thus  $l(G) \leq \frac{(n-1)(k-1)}{2} + d(x) \leq \frac{n(k-1)}{2}$ .  $\square$

Now these results are *extremal results* in the sense that how large  $G$  can be without some subgraph. But what about  $K_n$ ?

**Definition.** Say  $G$  is  $k$ -partite, with vertex classes  $V_1 \cdots V_k$ . If  $V_1, \cdots, V_k$  partition  $V$  and  $G[V_i]$  is empty for all  $i$ , then  $G$  is complete  $k$ -partite if:

$$E(G) = \{xy : x \in V_i, y \in V_j, i \neq j\}$$

The *Turan Graph*  $T_k(n)$  is the complete  $k$ -partite graph on vertex classes  $V_1, \cdots, V_k$ , where  $|V_1|, \cdots, |V_k|$  are as equal as possible.

**Note.** -  $T_{r-1}(n)$  has no  $K_r$ , because it is  $(r-1)$ -partite.

- $T_{r-1}(n)$  is maximal  $K_r$ -free: add any edge creates a  $K_r$ .
- To obtain  $T_k(n)$  from  $T_k(n-1)$ , add a point to the smallest class.

**Theorem.** Let  $G$  have  $n$  vertices, and  $e(G) = e(T_{r-1}(n))$ ,  $G \not\supset K_r$ , then  $G = T(n)$ . In particular, the maximal graph on  $n$  vertices without containing a  $K_r$  is the  $T_{r-1}(n)$  graph.

*Proof.*

**Claim.**  $\delta(G) \leq \delta(T(n))$ .

*Proof.* Now we have  $\sum d_G(x) = \sum d_{T(n)}(y)$  by condition, but we know that  $d_{T(n)}(y)$  are as equal as possible, so the smallest value of  $d_G(x)$  must be smaller or equal to the smallest value of  $d_{T(n)}(y)$ , so  $\delta(G) \leq \delta(T(n))$ .  $\square$

Now we induct on  $n$ , and  $n \leq r-1$  is trivial as  $T(n) = K_n$ . Now choose  $x \in G$  of minimum degree, and let  $G' = G - x$ .  $|G'| = k-1$ ,  $G' \not\supset K_r$ , and  $e(G') = e(G) - \delta(G) \geq e(T(n)) - \delta(T(n)) = e(T(n-1))$ . Then by induction,  $G' = T(n-1)$ , and  $\delta(G) = \delta(T(n))$ . Let's say  $G'$  has vertex classes  $V_1, \cdots, V_{r-1}$ . Now  $P_G(x)$  cannot meet every  $V_i$ , as otherwise, we have  $G \supset K_r$ , but  $d_G(x) = k-1 - \min_i |V_i|$ . Therefore, the only class that  $x$  does not meet can only be the smallest class, so if we add  $x$  back, by properties of the Turan graph, we must have  $G = T(n)$ , and thus we are done.  $\square$

## 4.1 The Problem of Zarankiewicz

How many edges can a bipartite graph with each class of size  $n$  have if it does not contain a  $K_{t,t}$ ? Let the maxima graph be  $Z(n, t)$ .

**Theorem.** Let  $t \geq 2$ , then  $Z(n, t) \leq 2n^{2-\frac{1}{t}}$  for  $n$  sufficiently large.

*Proof.* Let  $G$  have vertex classes  $X, Y$ ,  $|X| = |Y| = n$ ,  $G \not\supset K_{t,t}$ . Without loss of generality  $d(x) \geq t-1$  for all  $x \in X$ , else we add an edge. Now let  $d$  be the average degree of all  $x \in X$ . Then for a fixed  $A \subset Y$ ,  $|A| = t$ , we must have  $\leq t-1$   $x \in X$  that is connected to it by definition as it does not contain a  $K_{t,t}$ . So the number of  $(x, A)$  with  $x \in X$ ,  $A \subset Y, |A| = t$ ,  $P(x) > A$  is  $\leq \binom{n}{t}(t-1)$ . But this number is exactly  $\sum_{x \in X} \binom{d(n)}{t}$ . And since  $\binom{n}{t}$  is a convex function, we have

$$n \binom{n}{t} \leq \sum_{x \in X} \binom{d(n)}{t} \leq \binom{n}{t}(t-1)$$



Then we approximate and expand the two sides:

$$\frac{n(d-t+1)^n}{t!} \leq \frac{n^t}{t!}(t-1)$$

And after rearranging we have  $d \leq (t-1)^{\frac{1}{t}} n^{1-\frac{1}{t}} + t - 1$ . And thus when  $n$  is large, we have  $nd \leq 2n^{2-\frac{1}{t}}$  as required.  $\square$

Now we know that  $\frac{l(G)}{\binom{n}{2}} > 1 - \frac{1}{r-1} \Rightarrow G > K_r$ . The following theorem generalizes this result, writing  $K_r(t) = T_r(rt)$ :

**Theorem.**

$$\frac{l(G)}{\binom{n}{2}} > 1 - \frac{1}{r-1} + \epsilon \Rightarrow G > K_r(t)$$

for all  $\epsilon$  and for  $n$  large.

## 5 Colorings

**Definition.** A  $k$ -coloring of graph  $G$  is a function  $c : V \rightarrow \{1, \dots, k\}$  such that  $c(x) \neq c(y)$  for all  $xy \in G$ . The *chromatic number*  $\chi(G)$  is the least  $k$  such that  $G$  is  $k$ -colorable.

Thus by definition ( $c(x) \neq c(y)$ ) that  $G$   $k$ -colorable  $\Leftrightarrow G$  is  $k$ -partite.

**Example.**

- $\chi(P_k) = 2$
- $\chi(C_n) = \begin{cases} 2 & \text{if } n \text{ even} \\ 3 & \text{if } n \text{ odd} \end{cases}$
- $\chi(K_n) = n$
- $\chi(E_n) = 1$
- $\chi(K_{m,n}) = 2$ .

We have a relatively easy proposition:

**Proposition.** Let  $G$  have maximum degree  $\Delta$ . Then  $\chi(G) \leq \Delta + 1$ .

*Proof.* We color in  $\Delta + 1$  colors, and when we come to color  $x_i$ , we have  $\leq d(x_i) \leq \Delta$  colors already used on neighbours of  $x_i$ , so we have enough colors to color it.  $\square$

Now we can see through  $K_r$  that this is the "best bound" in terms of degree.

## 5.1 Coloring Planar Graphs

**Theorem.** Every Planar Graph is 6-colorable.

*Proof.* We induct on  $n$ . This is trivially true for  $N \leq 6$ . Given  $G$  planar,  $n > 6$ , we have  $e(G) = 3n - 6$ , so  $\sum d(x_i) \leq 6n - 12$ , thus there exist  $x$  for degree  $\leq 5$ . Then  $G - x$  is 6-colorable, and thus we can color  $x$ , so we are done.  $\square$

**Theorem** (Five Color Theorem). If  $G$  is planar,  $G$  is 5-colorable.

*Proof.* We use induction on  $|G|$  and it is trivial for  $|G| \leq 5$ . Now given planar  $G$ ,  $|G| > 5$ , we have  $\delta(G) \leq 5$  as before, so we delete that vertex and color  $G - x$  with 5 colors. Then we add  $x$  back in, and we are done unless  $d(x) = 5$  and all 5 colors appear in  $N(x)$ . Say the neighbourhood is  $x_1, \dots, x_5$  with  $x_i$  having color  $i$  for each  $i$ . Now we look at a 1-3 path  $P$ , where this means a path with alternating color 1 and color 3. we have two cases:

$x_3 \notin P$  Then let  $H$  be the 1-3 component of  $x_1$ . Swap all colors from 1 to 3 on  $H$ . This is still a valid coloring, but now we have  $x_1$  of color 3, so we use 1 for  $x$ .

$x_3 \in P$  Then we cannot have a 2-4 path from  $x_2$  to  $x_4$  as it would have to meet  $P$  since  $G$  is planar. So we finish by doing a 2-4 swap as above.

$\square$

**Proposition.** Let  $G$  be a connected graph, not complete or an odd cycle. Then  $\chi(G) \leq \Delta$ , where  $\Delta$  is the maximum degree in  $G$ .

**Remark.** If  $G$  is connected and not regular, we can certainly color it with  $\Delta$  colors by finding a vertex with degree  $< \Delta$ , finding edges to connect all other vertices, run greedy backwards. All vertices before the last one has a forward edge, meaning one that has not been colored yet, so  $\Delta$  colors is enough. And the last one has degree  $< \Delta$ , so this is enough also.

*Proof.* Wlog  $G$  is  $\Delta$ -regular (by remark), with  $\Delta \geq 3$ . We may assume  $G$  is 2-connected, as if  $x$  is a cutvertex then let  $G_1, \dots, G_k$  be the components of  $G - x$  together with  $x$ . Then each  $G_i$  is  $\Delta$ -colorable, as for every graph with one vertex less than degree  $\Delta$ , it is  $\Delta$  colorable.

### $G$ is 3-connected

Now choose  $x_n \in G$ . Must have some  $x_1, x_2 \in \Gamma(x_n)$  with  $x_1 x_2 \notin E$ , else the neighbourhood of  $x_n$  and  $x_n$  forms a  $K_{\Delta+1}$ , which is not  $G$  as  $G$  is not complete, so there exists a vertex in  $K_{\Delta+1}$  that connects to something not inside that  $K_{\Delta+1}$ , which contradicts the maximal degree constraint. Now  $G - (x_1, x_2)$  is connected, so we can order it as  $x_1, \dots, x_n$  so that each  $x_i$  with  $3 \leq i \leq n - 1$  has a forward edge. And we run greedy.

### **$G$ is not 3-connected**

Let  $G_1, \dots, G_k$  be components of  $G - (x, y)$  together with  $x, y$ . Then each  $G_i$  has a  $\Delta$ -coloring. If  $xy \in E$  then each  $G_i$  gives  $x, y$  different colors, so we can (recolor and) combine to obtain a  $\Delta$ -coloring of  $G$ . If for each  $i$ , we have  $d_{G_i}(x) \leq \Delta - 2$  or  $d_{G_i}(y) \leq \Delta - 2$ , then we are done as we can recolor one of  $x$  or  $y$  so that it is of two different colors and then we can obtain a  $\Delta$  coloring again. Now thus for some  $G_i$  we have  $d_{G_i}(x) = d_{G_i}(y) = \Delta - 1$ . And thus  $k = 2$ , with  $d_{G_2}(x) = d_{G_2}(y) = 1$ . Then let  $x$  be connected to  $u$  in  $G_2$ , and  $y$  be connected to  $v$  in  $G_2$ . Then  $u, y$  is a separator and it is not of this form, so we are done.  $\square$

## **5.2 The Chromatic Polynomial**

For graph  $G$ , let  $P_G(t)$  be the number of  $t$ -colorings of  $G$ . So:

**Example.**

$$P_{K_n}(t) = t(t-1) \cdots (t-n+1)$$

$$P_{E_n}(t) = t^n$$

$$P_{P_n}(t) = t(t-1)^n \text{ [Thus by induction on removing leaves, for tree } T \text{ on } n \text{ vertices, } P_T(t) = t(t-1)^{n-1}]$$

Given  $G$  with an edge  $e = xy$ , the contraction  $G/e$  is obtained from  $G$  by replacing vertices  $x, y$  by a new vertex  $e$ , joined to each  $z$  that was adjacent to  $x$  or  $y$  without multiple edges.

**Lemma (Cut-Fuse).** Let  $G$  be a graph, with an edge  $e$ . Then  $P_G = P_{G-e} - P_{G/e}$ .

*Proof.* Consider  $x, y \in V(G - e)$ . Then the number of colorings with  $x, y$  different color is exactly the number of colorings in  $G$ . And the number of colorings with  $x, y$  same color is exactly the number of colorings in  $G/e$ . So we are done.  $\square$

**Proposition.** Let  $g$  be a graph on  $n$  vertices with  $m$  edges. Then the first two terms of  $P_G(t)$  are  $t^n - mt^{n-1}$ .

*Proof.* We induct on  $m$ . It is trivially true for  $m = 0$ . Now given  $G$  with  $m > 0$  edges, and then we choose edge  $e$ . Then  $P_{G-e}(t) = t^n - (m-1)t^{n-1} + \dots$  by induction, and  $P_{G/e}(t) = t^{n-1} - \dots$  by induction. So by Cut-Fuse, we are done.  $\square$

**Remark.** - Since  $P_G$  is a polynomial, we can talk about  $P_G(t)$  for  $t$  real (or complex).

- Historically,  $P_G$  is introduced to try to prove the 4-color theorem by saying that  $P_G$  does not have a root at  $t = 4$  for planar  $G$ . No such algebraic proof of this is known but it is known that  $P_G(\phi + 2) \neq 0$ , where  $\phi = \frac{1+\sqrt{5}}{2}$ .

## **5.3 Edge-Colorings**

A  $k$ -edge-coloring of  $G$  is a function  $C : E(G) \rightarrow \{1, \dots, n\}$  such that  $c(e) \neq c(f)$  when  $e, f$  are adjacent edges. The *edge-adjacent number* or *chromatic index* of  $G$  is the least  $k$  such that  $G$  has a  $k$ -edge coloring, written  $\chi'(G)$ .

**Theorem** (Vizing's Theorem). For any graph  $G$ ,  $\chi'(G) = \Delta$  or  $\Delta + 1$ .

*Proof.* Now induct on  $e(G)$ ,  $e(G) = 0$ , given  $G$  with  $e(G) > 0$ , we choose  $e = xy_1$ , and then we have  $\Delta + 1$  coloring of  $G - e$ . At each vertex, some color is missing as we have  $\Delta + 1$  colors. Then we choose maximal sequence as follows: Start by choosing a color  $C_1$  at  $y_1$  that is missing. If  $x$  is also missing at  $x$ , we are done. If not, then there exist  $xy_2 \in E(G)$  with color  $C_1$ . Now  $y_2$  is missing color  $C_2$ , and we can inductively choose new edges  $xy_{i+1}$  of color  $C_i$ .

As  $G$  is finite, this terminates, so either we have no edge from  $x$  has  $C_k$ , in which we are done by recoloring  $xy_i$  with  $C_i$ , or  $C_k = C_j$  for some  $k > j$ . Wlog  $j = 1$  because we give edge  $xy_{i+1}$  color  $c_i$  leaving  $xy_1$  uncolored. Let  $C$  be a color missing at  $x$ , and let  $H$  be the  $C - C_1$  component of  $X$ .

$y_1 \notin H$  Swap  $C, C_1$  on  $H$  and we have now  $C_1$  missing at  $x$  and at  $y_1$ , so we can give  $xy_1$  color  $C_1$ .

$y_1 \in H, y_k \notin H$  We now swap  $C, C_1$ , and color  $xy_1$   $C$ . Note that this is possible as this component ends on  $y_1$  with an edge  $C$  as  $y_1$  is missing  $C_1$ .

$y_1, y_k \in H$  This is not possible as in this component  $y_1$  has degree 1,  $y_k$  has degree 1,  $x$  has degree 1, and it is a path or cycle by definition.

□

### 5.3.1 Graph on Surfaces

Up to now, we have considered graphs in general or on a plane. But why stop there?

**Definition.** The surface of genus  $g$  consists of a sphere with  $g$  handles attached, or more colloquially,  $g$  donut holes.

We can expand Euler's formula to show that:

**Lemma.**

$$V - E + F \geq 2 - 2g$$

Using this we have the following important theorem:

**Theorem** (Heawood's Theorem). Let  $G$  be a graph drawn on a surface of Euler characteristic  $\mathbb{E} = 2 - 2g \leq 0$ . Then

$$\gamma(G) \leq H(E) = \lfloor \frac{t + \sqrt{49 - 24\mathbb{E}}}{2} \rfloor$$

*Proof.* Let  $G$  be a graph drawn on the surface with  $\chi(G) = k$ . We want  $k \leq H(\mathbb{E})$ . Choose minimal  $G$  with  $\chi(G) = k$ , then  $\delta(G) \geq k - 1$  by minimality of  $G$  and  $n \geq k$ .

We know  $|E| \leq 3(n - \mathbb{E})$ , by Euler formula above, rearranged by using  $3F \leq 2E$ , so the sum of all degrees is  $2|E| \leq 6(n - \mathbb{E})$  and so  $\delta(G) \leq 6 - \frac{6\mathbb{E}}{n}$ .

Thus  $k - 1 \leq \delta(G) \leq 6 - 6\frac{\mathbb{E}}{n} \leq 6 - 6\frac{\mathbb{E}}{k}$  as  $E \leq 0$  and  $k \leq n$ . So we have:

$$k^k - k \leq 6k - 6E \quad \Rightarrow \quad k^2 - 7k + 6E \leq 0$$

This gives the required result. □

**Note.** Equality does hold! This took 75 years to prove.

## 6 Ramsey Theory

**Definition.** We define  $R(s, t)$  as the smallest  $n$  such that whenever  $K_n$  is 2-edge-colored, we either have a red  $K_s$  or a blue  $K_t$ .

**Remark.** This seems quite weird. Why do we want to study this? Think of the 2-coloring as disorder while the red and blue subgraphs as order. This is essentially finding order in disorder, which is important in chaos theory.

**Theorem.**  $R(s, t)$  exists for all  $s, t \geq 2$ . Moreover,  $R(s, t) \leq R(s-1, t) + R(s, t-1)$  for all  $s, t \geq 3$ .

*Proof.* It is enough to show that  $R(s, t) \leq R(s-1, t) + R(s, t-1)$  whenever  $R(s-1, t)$  and  $R(s, t-1)$  exists, then we are done by induction on  $s+t$ . Let  $a = R(s-1, t)$  and  $b = R(s, t-1)$ . Given a 2-coloring of  $K_{a+b}$ , we seek red  $K_s$  or blue  $K_t$ . Choose  $x \in V(K_{a+b})$ :  $d(x) = a+b-1$  so  $x$  incident with  $\geq a$  red edges or  $\geq b$  blue edges. Wlog  $\geq a$  red edges. Consider the  $K_a$  spanned by a red neighbours by definition of  $a$ . This  $K_a$  must contain either a blue  $K_t$  or a red  $K_{s-1}$  (in which  $x$  forms a  $K_s$  with these points), so we are done.  $\square$

**Remark.** - Hence for any graph  $G$  on  $n$  vertices, we have  $K_s \subset G$  or  $K_s \subset \bar{G}$ .

- Equivalently,  $G$  contains either  $K_s$  or an independent set of  $s$  vertices.

**Corollary.** For  $s, t \geq 2$  have  $R(s, t) \leq \binom{s+t-2}{s-1}$ . In particular,  $R(s) \leq 2^{2^s}$ .

*Proof.* Induction on  $s+t$ : We are done if  $s=2$  or  $t=2$ . Given  $s, t \geq 3$ , we have:

$$R(s, t) \leq \binom{s+t-3}{s-2} + \binom{s+t-3}{s-1} = \binom{s+t-2}{s-1}$$

$\square$

For  $k \geq 1$ , and  $S_1, \dots, S_k \geq 2$ , we write  $R_k(S_1, \dots, S_k)$  for least  $n$ , if exists, such that whenever  $E(K_n)$ ,  $k$ -colored and exist  $K_{S_i}$  of color  $i$ .

**Theorem** (Ramsey Theorem for  $k$  colors).  $R_k(S_1, \dots, S_k)$  exists for all  $k \geq 1$  for all  $S_1, \dots, S_k \geq 2$ .

*Proof.* We induct on  $k$ . Given  $k \geq 3$  and  $S_1 \dots S_k \geq 2$ , we will show that:

$$R_k(S_1, \dots, S_k) \leq R(S_1, R_{k-1}(S_2, \dots, S_k))$$

This is by using the the 2 color Ramsey existence proof, as for  $K_n$ ,  $n = R(S_1, R_{k-1}(S_2, \dots, S_k))$ , we either find a  $K_{S_1}$  colored 1, or a  $K_m$ ,  $m = R_{k-1}(S_2, \dots, S_k)$  and we are done by induction.  $\square$

Now let's extend this even further (seriously?). Suppose we color each triangle of  $K_n$  red or blue - can we find 4 points, all of whose triangles are colored the same? For an  $r$ -set, in which the example above was  $r=3$ , and  $s, t \geq r$ , we write  $R^{(r)}(s, t)$  for the least  $n$  such that whenever  $X^{(r)}$  is 2-colored, there exist a red  $s$  set or a  $t$  blue set.

**Example.** -  $R^{(2)}(s, t) = R(s, t)$ .

$$- R^{(r)}(s, t) = s.$$

**Theorem.**  $R^{(r)}(s, t)$  exists for all  $r \geq 1$  and  $s, t \geq r$ .

*Proof.* We induct on  $r$ . We know  $r = 1, 2$  is true. Given  $r \geq 3$ , induct on  $s + t$ , in which we can prove the base case  $s = r$  and  $t = r$ . Given  $s, t > r$ . We'll show that:

$$R^{(r)}(s, t) \leq R^{(r-1)}(R^{(r)}(s-1, t), R^{(r)}(s, t-1))$$

Let  $a = R^{(r)}(s-1, t)$ ,  $b = R^{(r)}(s, t-1)$ ,  $n = R^{(r-1)}(a, b) + 1$ . Given 2-coloring of  $X^{(r)}$ . Fix  $x \in X$ . Then  $c$  induces a 2-coloring of the  $(r-1)$  sets of  $Y = X - x$ . By definition of  $n$ , this contains a red  $a$ -set or a blue  $b$ -set, wlog a red  $a$ -set  $Z$ . So each  $r-1$  set  $A \subset Z$  has  $A \cup \{x\}$  having color red. By definition of  $a$ ,  $Z$  contains either a blue  $t$ -set for  $c$ , or a red  $(s-1)$  set for  $c$ , in which along with  $x$  gives a red  $s$ -set.  $\square$

Now similarly we can do this on  $k$  colors. But what about infinity?

## 6.1 Infinite Ramsey Theory

**Theorem.** Let  $\mathbb{N}^{(2)}$  be the graph consisting of  $\mathbb{N}$  vertices and all edges. Then there exists an infinite monochromatic  $M \subset \mathbb{N}$ .

*Proof.* Choose  $a_1 \in \mathbb{N}$ . Then there exist infinite  $B_1 \subset \mathbb{N} \setminus \{a_1\}$  such that all edges from  $a_1 \rightarrow B_1$  have the same color, say  $c_1$ . Choose  $a_2 \in B_1$ . Then there exists infinite  $B_2 \subset B_1 \setminus \{a_2\}$  such that all edges from  $a_2$  to  $B_2$  are the same color. Continue inductively. Then we obtain  $a_1, \dots$ , in  $\mathbb{N}$  where  $a_i a_j$  has color  $c_i$  for  $i < j$ . Then we must have infinitely many of them having the same color as there are only 2 colors, then that subset is infinite monochromatic by construction.  $\square$

**Remark.** This can be similarly done for  $k$  colors, and this type of proof is called a *2-pass* proof.

We will now do the same for  $r$ -sets.

**Theorem** (Infinite Ramsey for  $r$ -sets). Let  $r \geq 1$ , and let  $\mathbb{N}^{(r)}$  be 2-colored. Then there exists an infinite monochromatic subset  $M \subset \mathbb{N}$ .

*Proof.* Induct on  $r$  and we know  $r = 2$  is true. Given  $r > 2$ , and a 2-coloring  $c$  of  $\mathbb{N}^{(r)}$ , choose  $a_1 \in \mathbb{N}$ . This induces a 2-coloring  $c'$  of  $\{\mathbb{N} \setminus \{a_1\}\}^{(r-1)}$  by  $c'(F) = c(F \cup \{a_1\})$ . So there exist an infinite monochromatic  $B_1 \subset \mathbb{N} \setminus \{a_1\}$  for  $c'$ , by the induction hypothesis. So we have some  $c_1$  such that every  $r$ -set of the form  $\{a_1 \cup F\}$  for  $F \subset B_1$  has color  $c_1$ .

Then we can similarly do this inductively and obtain points  $a_1, \dots \in \mathbb{N}$  and colors  $c_1, c_2, \dots$ , such that any  $r$ -set  $a_{i_1} \dots a_{i_r}$  has color  $c_{i_1}$ . but infinitely many of the  $c_i$  agree, so that subset is monochromatic.  $\square$

## 7 Random Graphs

**Theorem.** let  $s \geq 3$ . Then  $R(s) > 2^{n/2}$ .

*Proof.* Choose a coloring of  $K_n$  at random, by taking each edge to be red or blue with probability 0.5 each. Then the probability that a fixed  $s$ -set is monochromatic is  $2(\frac{1}{2})^{\binom{s}{2}}$ . The number of  $s$ -sets is  $\binom{n}{s}$ , so the probability that there exist a monochromatic  $s$  set is less than  $\binom{n}{s}e^{1-\binom{s}{2}}$ . Thus we must have  $R(s) > n$  if  $\binom{n}{s}2^{1-\binom{s}{2}} < 1$ , or when  $\binom{n}{s} < 2^{\binom{s}{2}-1}$ . But  $\binom{n}{s} \leq \frac{n^s}{s!}$  and  $s! \geq 2^{s/2+1}$ , so the equation becomes:

$$n^2 \leq 2^{s^2/2} \Rightarrow n \leq 2^{s/2}$$

□

**Remark.** - This is a *random graphs* argument.

- This gives no hint on how to construct such a coloring, but no construction giving even an exponential lower bound on  $R(s)$  is known!

The *probability space*  $G(n, p)$  is defined on the set of all graphs in vertex set  $\{1, 2, \dots, n\}$  by choosing each edge to be present with probability  $p$  independently.

Recall Zarankiewicz, and we had  $Z(n, t) \leq 2n^{2-1/t}$ . Now we would use random graph theory to prove a lower bound:

**Theorem.**  $Z(n, t) > \frac{1}{2}n^{2-\frac{2}{t+1}}$ .

The idea is that if the graph has  $m$  edges and  $r$  copies of  $K_{t,t}$ , then we remove an edge from each copy of  $K_{t,t}$  to obtain a graph with  $\geq m - r$  edges and no  $K_{t,t}$  showing that  $Z(n, t) > m - r$ .

*Proof.* Choose a random bipartite graph  $G$ , vertex classes  $X, Y$  by taking each edge independently with probability  $p$ . Let  $M = e(G)$ , and  $R$  be the number of  $K_{t,t}$  in  $G$ . Now the number of  $K_{t,t}$  is  $\binom{n}{t}^2$ , and the probability that each one exist is  $p^{t^2}$ . So  $\mathbb{E}(R) = \binom{n}{t}^2 p^{t^2}$  and  $\mathbb{E}(M) = pn^2$ . Using linearity of expectation:

$$\mathbb{E}(M - R) = pn^2 - \binom{n}{t}^2 p^{t^2} \geq pn^2 - \frac{1}{2}n^{2t}p^{t^2}$$

Now we want to maximize this. Choose *magic*  $p = n^{-2/(t+1)}$ , and we have  $\mathbb{E}(M - R) \geq \frac{1}{2}n^{2-2/(t+1)}$ , so there exist a graph with  $m - r \geq \frac{1}{2}n^{2-2/(t+1)}$ , so we are done. □

## 7.1 Graphs with large $\chi(G)$

What does a large  $\chi(G)$  graph intuitively look like? We would want a lot of edges to be connected to a lot of points, so that the colors are very much constrained and thus you need more of them. But it turns out that one has the mind-blowing theorem below:

**Theorem.** For all  $k, g$ , there exists a graph  $G$  with  $\chi(G) \geq k$  and  $\text{girth}(G) \geq g$ .

**Remark.** We try to find  $G$  on  $n$  vertices such that the number of short cycles of length  $\leq g$  is less than  $n/2$ , and every independent set has size  $\leq n/2k$ . Then we are done by removing a vertex in each short cycle to obtain  $H$  with  $\text{girth} \geq g$ , and  $\chi(H) \geq$

$(n/2)/(n/2k) = k$ . The latter result comes from the fact that each monochromatic subset in a  $\chi(H)$  coloring is an independent set, so  $a(G)\chi(G) \geq |G|$ , where  $a(G)$  is the maximum size of an independent subset.

*Proof.* We choose a random  $G$  with  $p = n^{-1+1/g}$ . Then let  $x_i$  be the number of  $i$ -cycles in  $G$ ,  $3 \leq i \leq g-1$ , and let  $x$  be the number of cycles of length  $< g$ , so  $x = x_3 + \dots + x_{g-1}$ . Now

$$E(x_i) \leq n^i p^i$$

So

$$E(x) \leq \sum_i^{g-1} (np)^i \leq \sum n^{i/g} \leq gn^{(g-1)/g} = n \frac{g}{n^{1/g}} < \frac{n}{4}$$

When  $n$  is large. Then  $P(x \leq n/2) > \frac{1}{2}$ . Let  $t = \frac{n}{2k}$  for  $n$  a multiple of  $2k$  and let  $y$  be the number of  $t$ -sets that are independent. There are  $\binom{n}{t}$   $t$ -sets and the probability that a given  $t$  set is independent is  $(1-p)^{\binom{t}{2}} \leq e^{-p\binom{t}{2}}$ . So:

$$E(y) \leq n^t e^{-p\binom{t}{2}} \leq e^{\frac{n}{2k} \log n - \frac{n^2}{8k^2} n^{-1+1/g}} \rightarrow 0$$

As  $n \rightarrow \infty$  as the second term is asymptotically larger. So for  $n$  large,  $E(y) < \frac{1}{2}$ , so  $P(y = 0) \geq \frac{1}{2}$ . Then there exists  $G$  with  $x \leq \frac{n}{2}$ , and  $y = 0$ , so we are done.  $\square$

## 7.2 Structure of Random Graphs

What does a typical  $G$  look like? How do its properties change as  $p$  varies?

### 7.2.1 Probability Reminder

If we want to show that  $P(X = 0)$  is large, for  $X$  an integer random variable, we only need to show that  $\mu$  is really small,  $\mu < 1 \Rightarrow P(X = 0) \geq 1 - \mu$ .

Now if we want to show that  $P(X = 0)$  is small, then using Chebeshev's inequality  $P(|X - \mu| > t) \leq \frac{V}{t^2}$ , and taking  $t = \mu$ , we have:

$$P(X = 0) \leq P(|x - \mu| \geq \mu) \leq \frac{V}{\mu^2}$$

Now we assume  $X$  counts the number of some events  $A$  that occur:  $\mu = E(X) = \sum_A P(A)$ . Also  $E(X)^2 = \sum_{A,B} P(A)P(B)$ . and  $E(X^2) = \sum_{A,B} P(AB)$ . By independence of  $A$  and  $b$ :

$$V = \sum_A P(A) \sum_B (P(B|A) - P(B))$$

**Theorem.** Let  $\lambda$  be fixed, and choose random  $G = G(n, p)$  where  $P = \lambda \frac{\log n}{n}$ , then if  $\lambda < 1$  almost surely  $G$  has an isolated vertex, and if  $\lambda > 1$  almost surely  $G$  has no isolated vertex.

*Proof.* Let  $X$  be the number of isolated vertices of  $G$ . Then:

$$\mu = E(X) = n(1-p)^{n-1} = \frac{n}{1-p}(1-p)^n$$



For  $\lambda > 1$ , we have  $\mu \leq \frac{n}{1-p} e^{-pn} = \frac{n}{1-p} e^{-\lambda \log n} = \frac{n^{1-\lambda}}{1-p} \rightarrow 0$  as  $n \rightarrow \infty$ . So certainly we have  $P(X = 0) \rightarrow 1$ .

For  $\lambda < 1$ , we have  $1 - p \geq e^{-(1+\delta)p}$  for any  $\delta$ . So:

$$\mu \geq \frac{n}{1-p} e^{-(1+\delta)pn} = \frac{n}{1-p} e^{-(1+\delta)\lambda \log n} = \frac{n^{1-(1+\delta)p}}{1-p}$$

Choosing  $\delta$  such that  $(1 + \delta)\lambda < 1$ , we have  $\mu \rightarrow \infty$  as  $n \rightarrow \infty$ .

$$\begin{aligned} \text{Var}(x) &= \underbrace{n(1-p)^{n-1}(1 - (1-p)^{n-1})}_{N \text{ terms where } A = B} + \underbrace{n(n-1)(1-p)^{n-1}((1-p)^{n-2} - (1-p)^{n-1})}_{n(n-1) \text{ terms where } A \neq B} \\ &= \mu + n(n-1)(1-p)^{n-1}p(1-p)^{n-2} \\ &= \mu + \frac{p}{1-p} n^2 (1-p)^{2n-2} = \mu + \frac{p}{1-p} \mu^2 \end{aligned}$$

Therefore  $\frac{V}{\mu^2} \rightarrow 0$  as  $n \rightarrow \infty$ . So  $P(X = 0) \rightarrow 0$  as  $n \rightarrow \infty$ .  $\square$

**Theorem.** Let  $0 < p < 1$  be fixed, and let  $d$  be a real number with  $\binom{n}{d} p^{\binom{d}{2}} = 1$ . Then almost surely,  $G(n, p)$  has clique number equal to  $\lceil d \rceil$ ,  $\lfloor d \rfloor$ , or  $\lfloor d \rfloor - 1$ .

*Sketch.* Fix integer  $k$  and let  $X$  be the number of  $K_k$  in  $G$ . So  $\mu = \binom{n}{k} p^{\binom{k}{2}}$ . Check that for  $k \geq d + 1$ , we have  $\mu \rightarrow 0$  and for  $k \leq d - 1$ , we have  $\mu \rightarrow \infty$ . Now:

$$V = \binom{n}{k} p^{\binom{k}{2}} \sum_{s=2}^k \binom{k}{s} \binom{n-k}{k-s} (p^{\binom{k}{2} - \binom{s}{2}} - p^{\binom{k}{2}})$$

Then we have  $\frac{V}{\mu^2} \leq \frac{1}{\mu} \sum_{s=2}^k \binom{k}{s} \binom{n-k}{k-s} p^{\binom{k}{2} - \binom{s}{2}}$ . We can check that the first and last term dominates, and bound this by something that goes to 0 as  $n \rightarrow \infty$ . Then we are done.  $\square$

## 8 Algebraic Methods

**Definition.** The diameter of a graph is defined as  $\max d(x, y) : x, y \in G$ . So if  $G$  has diameter 1 then  $G$  is complete.

What about diameter 2? How many vertices can  $G$  have if  $G$  has diameter 2 and maximum degree  $\Delta$ ? If we look from a point  $x$ , we must have:

$$V(G) = \{x\} \cup \{N(G)\} \cup \{N(N(G))\}$$

Since  $|N(G)| \leq \Delta$ , we have  $|G| \leq 1 + \Delta^2$ . And it is easy to see that if equality is achieved then  $G$  is just  $\Delta$  regular. We call this a  $\Delta$ -regular Moore graph.

Thus, the big question is:

For what  $\Delta$  do we have Moore graphs?

To do this, we introduce algebraic methods:

**Definition.** The *adjacency matrix* of  $G$  is what you think it is: It is an  $|G| \times |G|$  matrix with  $A_{ij} = 1$  if  $ih \in E(G)$  and 0 otherwise.

Why do we define this? Because matrices are nice:

**Remark.**  $(A^N)_{ij}$  describes the number paths from  $i$  to  $j$  of length  $N$ . For  $N = 1$  this is trivially true and one can see higher powers through expanding matrix multiplication.

Moreover, this matrix is real symmetric, and thus it has  $|G|$  eigenvalues, with the following properties:

**Proposition.** For  $\lambda$  an eigenvalue and  $\Delta/\delta$  the maximum/minimum degree of  $G$ :

- (i)  $\lambda \leq \Delta$
- (ii) For  $G$  connected:  $-\Delta$  is an eigenvalue  $\Leftrightarrow G$  is regular and bipartite.
- (iii)  $\max \lambda \geq \delta$ .

*Proof.* (i) Choose eigenvector  $x$  for  $\lambda$ , and choose  $i$  with  $|x_i|$  maximal. Wlog  $x_i = 1$  by rescaling, then  $|(Ax)_i| = |\sum_{j \in N(i)} x_j| = \lambda \leq \Delta$ . So done.

(ii)  $\Rightarrow$  From (i) we see that we must have  $d(i) = \Delta$  and  $x_j = 1$  for all  $j \in d(i)$ . Then we can repetitively do this on each  $j \in N(i)$ . Then  $d(k) = \Delta$  for all  $k \in G$  as  $G$  is connected.

$\Leftarrow$  Just let  $x = (1, \dots, 1)$  Then  $Ax = (\Delta, \dots, \Delta)$ .

(iii)  $\Rightarrow$  Similarly from (i) we must have  $d(j) = \Delta$  and  $x_j = -1$  for all  $j \in N(i)$ . Then we can repeat and discover that for  $ij \in E(G)$ ,  $x_i, x_j = 1, -1$  or the reverse order, and thus we can separate the graph into two classes, one only having 1s and one only having  $-1$ s.

$\Leftarrow$  Let  $X, Y$  be the two vertex classes and choose  $x = (\underbrace{1, 1, \dots, 1}_X, \underbrace{-1, -1, \dots, -1}_Y)$ .

(iv) Let  $x = (1, 1, \dots, 1)$ . Then  $(Ax)_i \geq \delta$  for all  $i$ , so  $(Ax, x) \geq \delta(x, x) = \delta n$ . But by eigenvector decomposition  $\min(Ax, x) = \lambda_{\min}|x|^2$ , so  $\lambda_{\min} \geq \delta$ . □

We now have a strengthening of a previous result:

**Proposition.** For any graph  $G$ ,  $\chi(G) \leq \lambda_{\max} + 1$ .

*Proof.* We induct on  $|G|$ . Clearly done if  $|G| = 1$ . Given  $G$  with  $|G| > 1$ , we choose  $v \in G$  with minimal degree  $\leq \lambda_{\max}$ . Then let  $B$  be the adjacency matrix of  $G - v$  and wlog we removed the last row and column. Then for any  $x = (x_1, \dots, x_{n-1})$ , we have  $y = (y_1, \dots, y_{n-1}, 0)$ . Then  $(Ay, y) = (Bx, x)$ , and similarly by eigenvector decomposition  $(Bx, x) = (Ay, y) \leq \max_{\lambda} G|x|^2$ , so  $\max_{\lambda} G - v \leq \max_{\lambda} G = \lambda_{\max}$ . So we can color  $G - v$  by the induction step, and  $v$  since  $d(v) \leq \lambda_{\max}$ . □

## 8.1 Moore Graphs

Wait, we are getting distracted. What about Moore graphs? Don't worry, you have the section heading, but some more definitions first.

**Definition.** A graph  $G$  is *strongly regular* with parameters  $(k, a, b)$  if  $G$  is  $k$ -regular, any two adjacent points have exactly  $a$  common neighbours, and any two non-adjacent points having exactly  $b$  common neighbours.

If we look back to how we defined Moore graphs, we would see that a degree  $k$  Moore graph is strongly regular with  $(k, 0, 1)$ . Here comes the machinery:

**Proposition** (Rationality condition for strongly regular graphs). Let  $G$  be a graph on  $n$  vertices and is strongly regular with  $(k, a, b)$ , and  $b \geq 1$  so that  $G$  is connected. Then:

$$\frac{1}{2} \left( n - 1 \pm \frac{(n-1)(b-a) - 2k}{\sqrt{(a-b)^2 + 4(k-b)}} \right)$$

are integers.

*Proof.*  $G$  is connected, so  $k$  is an eigenvalue with multiplicity 1. What are the other eigenvalues? We have that:

$$A_{ij}^2 = \begin{cases} k & \text{if } i = j \\ a & \text{if } i \neq j, ij \in E \\ b & \text{if } i \neq j, ij \notin E \end{cases}$$

Thus we have  $A^2 = kI + aA + b(J - I - A)$  where  $J$  has all entries equal to 1. For an eigenvalue  $\lambda$  that is not  $k$ , since  $k$ 's eigenvector is  $(1, \dots, 1)$ , the eigenvector of  $\lambda$ ,  $x$ , is orthogonal to that, so  $Jx = 0$ . Then:

$$(\lambda^2 + (b-a)\lambda + (b-k))x = 0$$

Thus we have  $\lambda^2 + (b-a)\lambda + (b-k) = 0$ . So eigenvalues not equal to  $k$  are:

$$\lambda = \frac{1}{2}(a-b \pm \sqrt{(b-a)^2 + 4(k-b)})$$

Denote them by  $\lambda, \mu$ , and their multiplicities  $r, s$ . Then  $r + s = n - 1$  by definition, and since the eigenvalues sum up to 0 as  $A$  has trace 0, we also have  $\lambda r + \mu s = -k$ . Solving for  $r, s$  gives the result above.  $\square$

Finally, finally, we are here:

**Corollary.** If there exists a Moore graph of degree  $k$ , then  $k \in \{2, 3, 5, 57\}$ .

*Proof.* Now we know  $n = k^2 + 1, a = 0, b = 1$ , so we must have:

$$\frac{1}{2} \left( k^2 \pm \frac{k^2 - 2k}{\sqrt{1 + 4(k-1)}} \right) \in \mathbb{Z}$$

So we either have  $k^2 - 2k = 0$  or  $4k - 3$  is a perfect square. If  $k^2 - 2k = 0$  then  $k = 2$ . If  $4k - 3 = t^2$ , then  $t$  divides:

$$k^2 - 2k = \left(\frac{t^2 + 3}{4}\right)^2 - 2\left(\frac{t^2 + 3}{4}\right)$$

So  $t$  divides  $t^4 - 2t^2 + 15$ . So  $t$  divides 15, which gives  $t = 1, 3, 5, 15$ , or  $k = 1, 3, 7, 57$ .  $k = 1$  is not possible so we are done.  $\square$

**Remark.**  $k = 2$  is the  $C_5$  graph,  $k = 3$  is the Petersen graph,  $k = 7$  is called the *Hoffman-Singleton Graph*, but for  $k = 57$  we still have no idea if such a graph exists.